

General Assembly

## **Amendment**

February Session, 2022

LCO No. 6054



Offered by:

SEN. HARTLEY, 15th Dist.

REP. CURREY, 11th Dist.

SEN. MARTIN, 31st Dist.

REP. BUCKBEE, 67th Dist.

To: Senate Bill No. 99

File No. 211

Cal. No. 167

## "AN ACT ESTABLISHING A WORKING GROUP TO STUDY REMOTE NOTARIAL ACTS AND THE REVISED UNIFORM LAW ON NOTARIAL ACTS."

- 1 Strike everything after the enacting clause and substitute the
- 2 following in lieu thereof:
- 3 "Section 1. (NEW) (Effective October 1, 2022) Sections 1 to 30, inclusive,
- 4 of this act may be cited as the "Connecticut Revised Uniform Law on
- 5 Notarial Acts (2018)".
- 6 Sec. 2. (NEW) (Effective October 1, 2022) As used in sections 1 to 30,
- 7 inclusive, of this act:
- 8 (1) "Acknowledgment" means a declaration by an individual before a
- 9 notarial officer that the individual has signed a record for the purpose
- 10 stated in the record and, if the record is signed in a representative
- 11 capacity, that the individual signed the record with proper authority

and signed it as the act of the individual or entity identified in the record.

- 14 (2) "Electronic" means relating to technology having electrical, digital, 15 magnetic, wireless, optical, electromagnetic or similar capabilities.
- 16 (3) "Electronic signature" means an electronic symbol, sound or 17 process attached to or logically associated with a record and executed 18 or adopted by an individual with the intent to sign the record.
- 19 (4) "In a representative capacity" means acting as:
- 20 (A) An authorized officer, agent, partner, trustee or other 21 representative for a person other than an individual;
- 22 (B) A public officer, personal representative, guardian or other 23 representative, in the capacity stated in a record;
- 24 (C) An agent or attorney-in-fact for a principal; or
- 25 (D) An authorized representative of another in any other capacity.
- 26 (5) "Notarial act" means an act, whether performed with respect to a 27 tangible or electronic record, that a notarial officer may perform under 28 a provision of the general statutes. "Notarial Act" includes taking an 29 acknowledgment, administering an oath or affirmation, taking a 30 verification on oath or affirmation, witnessing or attesting a signature, 31 certifying or attesting a copy and noting a protest of a negotiable 32 instrument.
- 33 (6) "Notarial officer" means a notary public or other individual authorized to perform a notarial act.
- 35 (7) "Notary public" means an individual appointed to perform a notarial act by the Secretary of the State.
- 37 (8) "Official stamp" means a physical image affixed to or embossed 38 on a tangible record or an electronic image attached to or logically 39 associated with an electronic record.

(9) "Person" means an individual, corporation, business trust, statutory trust, estate, trust, partnership, limited liability company, association, joint venture, public corporation, government or governmental subdivision, agency or instrumentality or any other legal or commercial entity.

- 45 (10) "Record" means information that is inscribed on a tangible 46 medium or that is stored in an electronic or other medium and is 47 retrievable in perceivable form.
- 48 (11) "Sign" means, with present intent to authenticate or adopt a record:
- 50 (A) To execute or adopt a tangible symbol; or
- 51 (B) To attach to or logically associate with the record an electronic 52 symbol, sound or process.
- 53 (12) "Signature" means a tangible symbol or an electronic signature 54 that evidences the signing of a record.
- 55 (13) "Stamping device" means:
- 56 (A) A physical device capable of affixing to or embossing on a 57 tangible record an official stamp; or
- 58 (B) An electronic device or process capable of attaching to or logically associating with an electronic record an official stamp.
- 60 (14) "State" means a state of the United States, the District of 61 Columbia, Puerto Rico, the United States Virgin Islands or any territory 62 or insular possession subject to the jurisdiction of the United States.
- 63 (15) "Verification on oath or affirmation" means a declaration, made 64 by an individual on oath or affirmation before a notarial officer, that a 65 statement in a record is true.
- Sec. 3. (NEW) (*Effective October 1, 2022*) Sections 1 to 30, inclusive, of this act shall apply to a notarial act performed on or after October 1,

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- 69 Sec. 4. (NEW) (Effective October 1, 2022) (a) A notarial officer may 70 perform a notarial act authorized by sections 1 to 30, inclusive, of this 71 act or by any other provision of the general statutes.
- 72 (b) A notarial officer shall not perform a notarial act with respect to a 73 record to which the officer or the officer's spouse is a party or in which 74 the officer or officer's spouse has a direct beneficial interest. A notarial act performed in violation of this subsection is voidable.
  - (c) A notarial officer may certify that a tangible copy of an electronic record is an accurate copy of the electronic record.
- 78 Sec. 5. (NEW) (Effective October 1, 2022) (a) A notarial officer who 79 takes an acknowledgment of a record shall determine, from personal 80 knowledge or satisfactory evidence of the identity of the individual, that 81 the individual appearing before the officer and making the 82 acknowledgment has the identity claimed and that the signature on the 83 record is the signature of the individual.
  - (b) A notarial officer who takes a verification of a statement on oath or affirmation shall determine, from personal knowledge or satisfactory evidence of the identity of the individual, that the individual appearing before the officer and making the verification has the identity claimed and that the signature on the statement verified is the signature of the individual.
  - (c) A notarial officer who witnesses or attests to a signature shall determine, from personal knowledge or satisfactory evidence of the identity of the individual, that the individual appearing before the officer and signing the record has the identity claimed.
  - (d) A notarial officer who certifies or attests a copy of a record or an item that was copied shall determine that the copy is a full, true and accurate transcription or reproduction of the record or item.
- 97 (e) A notarial officer who makes or notes a protest of a negotiable

instrument shall determine the matters set forth in subsection (b) of section 42a-3-505 of the general statutes.

- Sec. 6. (NEW) (*Effective October 1, 2022*) Except as provided in section 101 15 of this act, if a notarial act relates to a statement made in or a signature 102 executed on a record, the individual making the statement or executing 103 the signature shall appear personally before the notarial officer.
- Sec. 7. (NEW) (*Effective October 1, 2022*) (a) A notarial officer has personal knowledge of the identity of an individual appearing before the officer if the individual is personally known to the officer through dealings sufficient to provide reasonable certainty that the individual has the identity claimed.
- 109 (b) A notarial officer has satisfactory evidence of the identity of an 110 individual appearing before the officer if the officer can identify the 111 individual:
- 112 (1) By means of: (A) A passport, driver's license or government-113 issued nondriver identification card that is current or expired not more 114 than six months before the date of the notarial officer's performance of 115 the notarial act; or
- 116 (B) Another form of government identification issued to an 117 individual that is current or expired not more than six months before 118 performance of the notarial act, contains the signature or a photograph 119 of the individual and is satisfactory to the officer; or
  - (2) By a verification on oath or affirmation of a credible witness personally appearing before the notarial officer and known to such officer or whom such officer can identify on the basis of a passport, driver's license or government-issued nondriver identification card that is current or expired not more than six months before performance of the notarial act.
- (c) A notarial officer may require an individual to provide additional
   information or identification credentials necessary to assure the officer

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- of the identity of the individual.
- Sec. 8. (NEW) (Effective October 1, 2022) (a) A notarial officer may
- refuse to perform a notarial act if the officer is not satisfied that:
- 131 (1) The individual executing the record is competent or has the
- 132 capacity to execute the record; or
- 133 (2) The individual's signature is knowingly and voluntarily made.
- (b) A notarial officer may refuse to perform a notarial act unless
- refusal is prohibited by any other provision of the general statutes.
- Sec. 9. (NEW) (Effective October 1, 2022) If an individual is physically
- unable to sign a record, the individual may direct an individual other
- than the notarial officer to sign the individual's name on the record. The
- 139 notarial officer shall insert the phrase "Signature affixed by (name of
- other individual) at the direction of (name of individual)" or words of
- similar import.
- Sec. 10. (NEW) (Effective October 1, 2022) (a) A notarial act may be
- performed in this state by:
- 144 (1) A notary public of this state;
- 145 (2) A judge, clerk or deputy clerk of the Superior Court or a Probate
- 146 Court of this state or a family support magistrate;
- 147 (3) An individual licensed to practice law in this state;
- 148 (4) A town clerk;
- 149 (5) A justice of the peace; or
- 150 (6) Any other individual authorized to perform the specific act by the
- 151 law of this state.
- (b) The signature and title of an individual performing a notarial act
- in this state are prima facie evidence that the signature is genuine and

- that the individual holds the designated title.
- 155 (c) The signature and title of a notarial officer described in
- subdivisions (1), (2) and (3) of subsection (a) of this section conclusively
- establish the authority of the officer to perform the notarial act.
- 158 Sec. 11. (NEW) (Effective October 1, 2022) (a) A notarial act performed
- in another state has the same effect under the law of this state as if
- performed by a notarial officer of this state, if the act performed in that
- state is performed by:
- 162 (1) A notary public of that state;
- 163 (2) A judge, clerk or deputy clerk of a court of that state; or
- 164 (3) Any other individual authorized by the law of that state to
- 165 perform the notarial act.
- 166 (b) The signature and title of an individual performing a notarial act
- in another state are prima facie evidence that the signature is genuine
- and that the individual holds the designated title.
- 169 (c) The signature and title of a notarial officer described in
- 170 subdivision (1) or (2) of subsection (a) of this section conclusively
- establish the authority of the officer to perform the notarial act.
- 172 Sec. 12. (NEW) (Effective October 1, 2022) (a) A notarial act performed
- 173 under the authority and in the jurisdiction of a federally recognized
- 174 Indian tribe has the same effect as if performed by a notarial officer of
- this state if the act performed in the jurisdiction of the tribe is performed
- 176 by:
- 177 (1) A notary public of the tribe;
- 178 (2) A judge, clerk or deputy clerk of a court of the tribe; or
- 179 (3) Any other individual authorized by the law of the tribe to perform
- the notarial act.

181 (b) The signature and title of an individual performing a notarial act 182 under the authority of and in the jurisdiction of a federally recognized 183 Indian tribe are prima facie evidence that the signature is genuine and 184 that the individual holds the designated title.

- 185 (c) The signature and title of a notarial officer described in 186 subdivision (1) or (2) of subsection (a) of this section conclusively 187 establish the authority of the officer to perform the notarial act.
- 188 Sec. 13. (NEW) (Effective October 1, 2022) (a) A notarial act performed 189 under federal law has the same effect under the law of this state as if 190 performed by a notarial officer of this state if the act performed under 191 federal law is performed by:
- 192 (1) A judge, clerk or deputy clerk of a court;
- 193 (2) An individual in military service or performing duties under the 194 authority of military service who is authorized to perform notarial acts 195 under federal law;
- 196 (3) An individual designated a notarizing officer by the United States 197 Department of State for performing notarial acts overseas; or
- 198 (4) Any other individual authorized by federal law to perform the notarial act. 199
- 200 (b) The signature and title of an individual acting under federal authority and performing a notarial act are prima facie evidence that the 202 signature is genuine and that the individual holds the designated title.
- 203 (c) The signature and title of an officer described in subdivision (1), 204 (2) or (3) of subsection (a) of this section conclusively establish the 205 authority of the officer to perform the notarial act.
- 206 Sec. 14. (NEW) (Effective October 1, 2022) (a) As used in this section, 207 "foreign state" means a government other than the United States, a state 208 or a federally recognized Indian tribe.

(b) If a notarial act is performed under authority and in the jurisdiction of a foreign state or constituent unit of the foreign state or is performed under the authority of a multinational or international governmental organization, the act has the same effect under the law of this state as if performed by a notarial officer of this state.

- (c) If the title of office and indication of authority to perform notarial acts in a foreign state appears in a digest of foreign law or in a list customarily used as a source for that information, the authority of an officer with that title to perform notarial acts is conclusively established.
- 218 (d) The signature and official stamp of an individual holding an office 219 described in subsection (c) of this section are prima facie evidence that 220 the signature is genuine and the individual holds the designated title.
- (e) An apostille in the form prescribed by the Hague Convention of October 5, 1961, and issued by a foreign state party to the Convention conclusively establishes that the signature of the notarial officer is genuine and that the officer holds the indicated office.
  - (f) A consular authentication issued by an individual designated by the United States Department of State as a notarizing officer for performing notarial acts overseas and attached to the record with respect to which the notarial act is performed conclusively establishes that the signature of the notarial officer is genuine and that the officer holds the indicated office.
- Sec. 15. (NEW) (*Effective October 1, 2022*) (a) As used in this section:
- 232 (1) "Communication technology" means an electronic device or 233 process that:
- (A) Allows a notary public and a remotely located individual to communicate with each other simultaneously by sight and sound; and
- (B) When necessary and consistent with other applicable law, facilitates communication with a remotely located individual who has a vision, hearing or speech impairment.

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(2) "Foreign state" means a jurisdiction other than the United States,
a state or a federally recognized Indian tribe.

- (3) "Identity proofing" means a process or service by which a third person provides a notary public with a means to verify the identity of a remotely located individual by a review of personal information from public or private data sources.
- 245 (4) "Outside the United States" means a location outside the 246 geographic boundaries of the United States, Puerto Rico, the United 247 States Virgin Islands and any territory, insular possession or other 248 location subject to the jurisdiction of the United States.
  - (5) "Remotely located individual" means an individual who is not in the physical presence of the notary public who performs a notarial act under subsection (c) of this section.
- (b) A remotely located individual may comply with section 6 of this act by using communication technology to appear before a notarial officer.
  - (c) A notarial officer located in this state may perform a notarial act using communication technology for a remotely located individual if:
- 257 (1) The notarial officer:

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- 258 (A) Has personal knowledge under subsection (a) of section 7 of this 259 act of the identity of the individual;
- (B) Has obtained satisfactory evidence of the identity of the remotely located individual by oath or affirmation from a credible witness appearing before the notarial officer under subsection (b) of section 7 of this act or this section; or
- (C) Has obtained satisfactory evidence of the identity of the remotely located individual by using at least two different types of identity proofing;

267 (2) The notarial officer confirms that a record before the notarial 268 officer is the same record that the remotely located individual executes 269 remotely before the notarial officer by communication technology;

- 270 (3) The notarial officer, or a person acting on behalf of the notarial 271 officer, creates an audio-visual recording of the performance of the 272 notarial act; and
- 273 (4) For a remotely located individual located outside the United 274 States:
- 275 (A) The record:
- 276 (i) Is to be filed with or relates to a matter before a public official or 277 court, governmental entity or other entity subject to the jurisdiction of 278 the United States; or
- 279 (ii) Involves property located in the territorial jurisdiction of the 280 United States or involves a transaction substantially connected with the 281 United States; and
- 282 (B) The act of making the statement or signing the record is not 283 prohibited by the foreign state in which the remotely located individual 284 is located.
- (d) If a notarial act is performed under this section, the certificate of notarial act required by section 16 of this act and the short-form certificate provided in section 17 of this act shall indicate that the notarial act was performed using communication technology.
- (e) A short-form certificate provided in section 17 of this act for a notarial act subject to this section is sufficient if it:
- 291 (1) Complies with regulations adopted under subdivision (1) of 292 subsection (h) of this section; or
- 293 (2) Is in the form provided in section 17 of this act and contains a 294 statement substantially as follows: "This notarial act involved the use of

295 communication technology."

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(f) A notarial officer, guardian, conservator or agent of a notarial officer or personal representative of a deceased notarial officer shall retain the audio-visual recording created under subdivision (3) of subsection (c) of this section or cause the recording to be retained by a repository designated by or on behalf of the person required to retain the recording. Unless a different period is required by regulation adopted under subdivision (4) of subsection (h) of this section, the recording shall be retained for a period of at least ten years after the recording is made.

- (g) Before a notary public performs the notary public's initial notarial act under this section, the notary public shall notify the Secretary of the State that the notary public will be performing notarial acts with respect to remotely located individuals and identify the technologies the notary public intends to use. A notary public or notarial officer shall not perform a notarial act with respect to a remotely located individual using any technology that has not been approved by the Secretary of the State pursuant to subsection (h) of this section and any applicable regulations. Use of unapproved technology by a notary public shall be grounds to deny, refuse to renew, suspend or impose a condition on a notarial officer's commission in accordance with section 23 of this act. Use of unapproved technology by a notarial officer other than a notary public may constitute grounds for discipline of such notarial officer by the applicable body having jurisdiction over such notarial officer.
- (h) The Secretary of the State shall adopt regulations, in accordance with chapter 54 of the general statutes, regarding performance of a notarial act under this section. The regulations shall:
- 322 (1) Prescribe the means of performing a notarial act involving a 323 remotely located individual using communication technology;
- 324 (2) Establish standards for communication technology and identity 325 proofing;

326 (3) Establish requirements or procedures to approve providers of 327 communication technology and the process of identity proofing; and

- 328 (4) Establish standards and a period for the retention of an audio-329 visual recording created under subdivision (3) of subsection (c) of this 330 section.
- 331 (i) Before adopting, amending or repealing a regulation governing 332 performance of a notarial act with respect to a remotely located 333 individual pursuant to subsection (h) of this section, the Secretary of the 334 State shall consider:
- 335 (1) The most recent standards regarding the performance of a notarial 336 act with respect to a remotely located individual promulgated by 337 national standard-setting organizations and the recommendations of 338 the National Association of Secretaries of State;
- 339 (2) Standards, practices and customs of other jurisdictions that have 340 laws substantially similar to this section; and
- 341 (3) The views of governmental officials and entities and other interested persons.
  - (j) A notarial officer shall not perform a notarial act using communication technology for a remotely located individual if such notarial act is (1) performed in connection with the (A) making and execution of a will, codicil or trust, (B) execution of health care instructions pursuant to section 19a-575a of the general statutes, (C) designation of a standby guardian pursuant to section 45a-624 of the general statutes, (D) designation of a person for decision-making and certain rights and obligations pursuant to section 1-56r of the general statutes, (E) execution of a living will, as defined in section 19a-570 of the general statutes, or (F) the appointment of an agent under power of attorney, as defined in section 45a-334c of the general statutes, unless such power of attorney is limited in duration to a date certain and grants an agent authority with respect to transactions in real property, or (2) performed in the course of a real estate closing. For the purposes of this

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section, "real estate closing" has the same meaning as provided in section 51-88a of the general statutes.

- Sec. 16. (NEW) (*Effective October 1, 2022*) (a) A notarial act shall be evidenced by a certificate that shall:
- 361 (1) Be executed contemporaneously with the performance of the notarial act;
- 363 (2) Be signed and dated by the notarial officer and, if the notarial officer is a notary public, be signed in the same manner as on file with the Secretary of the State;
- 366 (3) Identify the jurisdiction in which the notarial act is performed;
- 367 (4) Contain the title of office of the notarial officer; and

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- 368 (5) If the notarial officer is a notary public, indicate the date of expiration, if any, of the officer's appointment.
  - (b) If a notarial act regarding a tangible record is performed by a notary public, an official stamp shall be affixed to or embossed on the certificate. If a notarial act is performed regarding a tangible record by a notarial officer other than a notary public and the certificate contains the information specified in subdivisions (2) to (4), inclusive, of subsection (a) of this section, an official stamp may be affixed to or embossed on the certificate. If a notarial act regarding an electronic record is performed by a notarial officer and the certificate contains the information specified in subdivisions (2) to (4), inclusive, of subsection (a) of this section, an official stamp may be attached to or logically associated with the certificate.
- 381 (c) A certificate of a notarial act is sufficient if it meets the requirements of subsections (a) and (b) of this section and:
- 383 (1) Is in a short form set forth in section 17 of this act;
- 384 (2) Is in a form otherwise permitted by the law of this state;

385 (3) Is in a form permitted by the law applicable in the jurisdiction in which the notarial act was performed; or

- (4) Sets forth the actions of the notarial officer and the actions are sufficient to meet the requirements of the notarial act as provided in sections 5 to 7, inclusive, of this act or any other applicable provision of the general statutes.
- (d) By executing a certificate of a notarial act, a notarial officer certifies that the officer has complied with the requirements and made the determinations specified in sections 4 to 6, inclusive, of this act.
- 394 (e) A notarial officer shall not affix the officer's signature to, or 395 logically associate it with, a certificate until the notarial act has been 396 performed.
- (f) If a notarial act is performed regarding a tangible record, a certificate shall be part of, or securely attached to, the record. If a notarial act is performed regarding an electronic record, the certificate shall be affixed to, or logically associated with, the electronic record. If the Secretary of the State has established standards pursuant to section 27 of this act for attaching, affixing or logically associating the certificate, the process shall conform to such standards.
- Sec. 17. (NEW) (Effective October 1, 2022) The following short-form certificates of notarial acts are sufficient for the purposes indicated, if completed with the information required by subsections (a) and (b) of section 16 of this act:
- 408 (1) For an acknowledgment in an individual capacity:
- 409 State of ....

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- 410 County of ....
- 411 This record was acknowledged before me on \_\_\_\_ by \_\_\_\_\_
- 412 Date Name(s) of individual(s)

Signature of notarial officer
Stamp
Title of office
My appointment expires:
(2) For an acknowledgment in a representative capacity:
State of
County of
This record was acknowledged before me on by
Date Name(s) of individual(s)
as (type of authority, such as officer or trustee) of (name of party on behalf of whom record was executed).
Signature of notarial officer
Stamp
Title of office
My appointment expires:
(3) For a verification on oath or affirmation:
State of
County of

5 5	Signed and sworn to (or affirme	ed) before me on by
7 3	Date Name(s) of individual(s) ma	ıking statement
9	Signature of notarial officer	
) 1	Stamp	
	Title of office	
	My appointment expires:	
	(4) For witnessing or attesting a sign	ature:
	State of	
	County of	
	Signed (or attested) before me on	by
		Date Name(s) of individual(s)
	Signature of notarial officer	
	Stamp	
	Title of office	
	My appointment expires:	
	(5) For certifying a copy of a record:	
	State of	

County of
I certify that this is a true and correct copy of a record in the possession of
Dated
Signature of notarial officer
Stamp
Title of office
My appointment expires:
Sec. 18. (NEW) ( <i>Effective October 1, 2022</i> ) The official stamp of a notary public shall:
(1) Include the notary public's name, jurisdiction, appointment expiration date and other information required by the Secretary of the State; and
(2) Be capable of being copied together with the record to which it is affixed or attached or with which it is logically associated.
Sec. 19. (NEW) (Effective October 1, 2022) (a) A notary public shall be
responsible for the security of the notary public's stamping device and
shall not allow another individual to use the device to perform a notarial
act. On resignation from, or the revocation or expiration of, the notary
public's appointment, or on the expiration of the date set forth in the
stamping device, if any, the notary public shall disable the stamping device by destroying defacing damaging or securing it against
device by destroying, defacing, damaging, erasing or securing it against use in a manner that renders it unusable. On the death or adjudication
of incompetency of a notary public, the notary public's personal
representative or guardian or any other person knowingly in possession
representative of guardian of any other person knownigry in possession

of the stamping device shall render it unusable by destroying, defacing, damaging, erasing or securing it against use in a manner that renders it unusable.

- (b) If a notary public's stamping device is lost or stolen, the notary public or the notary public's personal representative or guardian shall notify promptly by mail or electronic mail the Secretary of the State on discovering that the device is lost or stolen.
- Sec. 20. (NEW) (*Effective October 1, 2022*) (a) A notary public may select one or more tamper-evident technologies to perform notarial acts with respect to electronic records. A person shall not require a notary public to perform a notarial act with respect to an electronic record with a technology that the notary public has not selected.
  - (b) Before a notary public performs the notary public's initial notarial act with respect to an electronic record, a notary public shall notify the Secretary of the State that the notary public will be performing notarial acts with respect to electronic records and identify the technology the notary public intends to use. If the Secretary of the State has established standards for approval of technology pursuant to section 27 of this act, the technology shall conform to the standards. If the technology conforms to the standards, the Secretary of the State shall approve the use of the technology.
  - (c) A town clerk shall accept for recording a tangible copy of an electronic record containing a notarial certificate as satisfying any requirement that a record accepted for recording be an original if the same notarial officer executing the notarial certificate contained on the record certifies that the tangible copy is an accurate copy of the electronic record. Such recording shall attach a declaration of authenticity as follows:
- 512 DECLARATION OF AUTHENTICITY
- 513 State of ....

514	County of
515 516 517 518 519 520 521	The attached document, (insert title), dated (insert date) and containing (insert number of pages) pages, is a true and correct copy of an electronic record printed by me or under my supervision. At the time of printing, no security features present on the electronic record indicated any changes or errors in an electronic signature or other information in the electronic record after the electronic record's creation or execution.
522	This declaration is made under penalty of perjury.
523	Signed this day of,
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525	Signature of notarial officer
526	Stamp
527	
528	Title of office
529	My appointment expires:
<ul><li>530</li><li>531</li><li>532</li><li>533</li><li>534</li><li>535</li></ul>	Sec. 21. (NEW) ( <i>Effective October 1, 2022</i> ) (a) An individual qualified under subsection (b) of this section may apply to the Secretary of the State for an appointment as a notary public. The applicant shall comply with and provide the information required by any regulations adopted by the Secretary of the State in accordance with the provisions of chapter 54 of the general statutes, and pay any application fee.
536	(b) An applicant for an appointment as a notary public shall:
537	(1) Be at least eighteen years of age;
538	(2) Be a citizen or permanent legal resident of the United States;
539	(3) Be a resident of or have a place of employment or practice in this

540 state;

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- 541 (4) Be able to read and write English;
- 542 (5) Not be disqualified to receive an appointment under section 23 of 543 this act; and
- 544 (6) Have completed the course of study described in section 22 of this 545 act.
- 546 (c) Before issuance of an appointment as a notary public, an applicant 547 for the appointment shall execute an oath of office in accordance with 548 section 1-25 of the general statutes and submit it to the Secretary of the 549 State.
- 550 (d) Upon compliance with this section, the Secretary of the State shall 551 issue an appointment as a notary public to an applicant for a term of five 552 years.
- 553 (e) An appointment to act as a notary public authorizes the notary 554 public to perform notarial acts. The appointment does not provide the 555 notary public any immunity or benefit conferred by the law of this state 556 on public officials or employees.
- 557 Sec. 22. (NEW) (*Effective October 1, 2022*) The Secretary of the State or 558 an entity approved by the Secretary of the State shall offer regularly a 559 course of study to applicants who do not hold commissions as notaries 560 public in this state, including notaries public seeking renewal or reinstatement of a notary commission. The course shall cover the laws, 562 regulations, procedures and ethics relevant to notarial acts.
  - Sec. 23. (NEW) (Effective October 1, 2022) (a) The Secretary of the State may deny, refuse to renew, revoke, suspend or impose a condition on an appointment as notary public for any act or omission that demonstrates the individual lacks the honesty, integrity, competence or reliability to act as a notary public, including:
- 568 (1) Failure to comply with any provision of sections 1 to 30, inclusive,

- of this act;
- 570 (2) A fraudulent, dishonest or deceitful misstatement or omission in
- 571 the application for an appointment as a notary public submitted to the
- 572 Secretary of the State;
- 573 (3) A conviction of the applicant or notary public of any felony or a 574 crime involving fraud, dishonesty or deceit;
- 575 (4) A finding against, or admission of liability by, the applicant or 576 notary public in any legal proceeding or disciplinary action based on the 577 applicant's or notary public's fraud, dishonesty or deceit;
- 578 (5) Failure by the notary public to discharge any duty required of a 579 notary public, whether by any provision of sections 1 to 30, inclusive, of 580 this act, regulations of the Secretary of the State or any federal or state 581 law;
- 582 (6) Use of false or misleading advertising or representation by the 583 notary public representing that the notary has a duty, right or privilege 584 that the notary does not have;
- 585 (7) Violation by the notary public of a regulation of the Secretary of 586 the State regarding a notary public;
- 587 (8) Denial, refusal to renew, revocation, suspension or conditioning 588 of a notary public appointment in another state; or
- (9) Any violation of subsection (g) of section 15 of this act regarding the use of remote notary technology.
- (b) In making a determination to deny, refuse to renew, revoke, suspend or impose a condition on a commission as notary public pursuant to subdivision (3) of subsection (a) of this section, the Secretary of the State shall consider (1) the nature and number of relevant convictions, (2) the recency of the convictions, (3) whether the application has successfully completed the conditions of conviction, including imprisonment, probation or parole, and (4) if a felony,

598 whether the crime involved fraud, deceit of dishonesty. The Secretary 599 of the State shall not deny, refuse to renew, revoke, suspend or impose 600 a condition on a commission as notary public on the basis of criminal 601 conviction if the Secretary of the State finds, based on the totality of the 602 circumstances, that the applicant possesses the character and fitness 603 necessary to perform the duties of a notary public in a manner consistent 604 with the law. The Secretary of the State shall inform all applicants that 605 criminal convictions that have been expunged, pardoned or otherwise 606 erased pursuant to law need not be disclosed to the Secretary of the 607 State.

- (c) If the Secretary of the State denies, refuses to renew, revokes,
   suspends or imposes conditions on an appointment as a notary public,
   the applicant or notary public is entitled to timely notice and hearing in
   accordance with chapter 54 of the general statutes.
- (d) The authority of the Secretary of the State to deny, refuse to renew,
   suspend, revoke or impose conditions on an appointment as a notary
   public does not prevent a person from seeking and obtaining other
   criminal or civil remedies provided by law.
- Sec. 24. (NEW) (*Effective October 1, 2022*) The Secretary of the State shall maintain an electronic database of notaries public:
- (1) Through which a person may verify the authority of a notary public to perform notarial acts; and
- 620 (2) Which indicates whether a notary public has notified the Secretary 621 of the State that the notary public will be performing notarial acts on 622 electronic records.
- Sec. 25. (NEW) (*Effective October 1, 2022*) (a) An appointment as a notary public does not authorize an individual to:
- 625 (1) Assist persons in drafting legal records, conduct a real estate closing, give legal advice or otherwise practice law;
- 627 (2) Act as an immigration consultant or an expert on immigration

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- (3) Represent a person in a judicial or administrative proceeding relating to immigration to the United States, United States citizenship or related matters; or
- 632 (4) Receive compensation for performing any of the activities listed 633 in subdivisions (1) to (3), inclusive, of this subsection.
- (b) A notary public shall not engage in false or deceptive advertising.
- (c) A notary public, other than an attorney licensed to practice law in this state, shall not use the term "notario" or "notario publico".
  - (d) A notary public, other than an attorney licensed to practice law in this state, shall not advertise or represent that the notary public may assist persons in drafting legal records, give legal advice, conduct a real estate closing or otherwise practice law. If a notary public who is not an attorney licensed to practice law in this state in any manner advertises or represents that the notary public offers notarial services, whether orally or in a record, including broadcast media, print media and the Internet, the notary public shall include the following statement, or an alternate statement authorized or required by the Secretary of the State, in the advertisement or representation, prominently and in each language used in the advertisement or representation: "I am not an attorney licensed to practice law in this state. I am not allowed to draft legal records, give advice on legal matters, including immigration, or charge a fee for those activities". If the form of advertisement or representation is not broadcast media, print media or the Internet and does not permit inclusion of the statement required by this subsection because of size, it shall be displayed prominently or provided at the place of performance of the notarial act before the notarial act is performed.
  - (e) Except as otherwise allowed by law, a notary public shall not withhold access to or possession of an original record provided by a person that seeks performance of a notarial act by the notary public.

659 Sec. 26. (NEW) (Effective October 1, 2022) Except as otherwise provided in subsection (b) of section 4 of this act, the failure of a notarial 660 661 officer to perform a duty or meet a requirement specified in sections 1 662 to 30, inclusive, of this act does not invalidate a notarial act performed 663 by the notarial officer. The validity of a notarial act under sections 1 to 664 30, inclusive, of this act does not prevent an aggrieved person from 665 seeking to invalidate the record or transaction that is the subject of the 666 notarial act or from seeking other remedies based on any other provision 667 of the general statutes or the law of the United States. This section does 668 not validate a purported notarial act performed by an individual who 669 does not have the authority to perform notarial acts.

- Sec. 27. (NEW) (Effective October 1, 2022) (a) The Secretary of the State shall adopt regulations, in accordance with chapter 54 of the general statutes, to implement the provisions of sections 1 to 30, inclusive, of this act. Regulations adopted regarding the performance of notarial acts with respect to electronic records shall not require, or accord greater legal status or effect to, the implementation or application of a specific technology or technical specification. The regulations shall:
- 677 (1) Prescribe the manner of performing notarial acts regarding 678 tangible and electronic records;
- 679 (2) Include provisions to ensure that any change to or tampering with 680 a record bearing a certificate of a notarial act is self-evident;
- 681 (3) Include provisions to ensure integrity in the creation, transmittal, 682 storage or authentication of electronic records or signatures;
  - (4) Prescribe the process of granting, renewing, conditioning, denying, suspending or revoking a notary public appointment and assuring the trustworthiness of an individual holding an appointment as notary public;
- 687 (5) Include provisions to prevent fraud or mistake in the performance 688 of notarial acts; and

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689 (6) Provide for the administration of the examination under 690 subsection (a) of section 22 of this act and the course of study under 691 subsection (b) of section 22 of this act.

- (b) In adopting, amending or repealing regulations about notarial acts with respect to electronic records, the Secretary of the State shall consider, so far as is consistent with sections 1 to 30, inclusive, of this act:
- 696 (1) The most recent standards regarding electronic records 697 promulgated by national bodies, such as the National Association of 698 Secretaries of State;
- 699 (2) Standards, practices and customs of other jurisdictions that 700 substantially enact the provisions of sections 1 to 30, inclusive, of this 701 act; and
- 702 (3) The views of governmental officials and entities and other 703 interested persons.
- Sec. 28. (NEW) (*Effective October 1, 2022*) The provisions of sections 1 to 30, inclusive, of this act do not affect the validity or effect of a notarial act performed before October 1, 2022.
- Sec. 29. (NEW) (*Effective October 1, 2022*) In applying and construing the provisions of sections 1 to 30, inclusive, of this act, consideration shall be given to the need to promote uniformity of the law with respect to its subject matter among states that enact it.
- Sec. 30. (NEW) (*Effective October 1, 2022*) Sections 1 to 30, inclusive, of this act modify, limit and supersede the Electronic Signatures in Global and National Commerce Act, 15 USC 7001 et seq., but do not modify, limit or supersede Section 101(c) of that act, 15 USC 7001(c), or authorize electronic delivery of any of the notices described in Section 103(b) of that act, 15 USC 7003(b).
- Sec. 31. Section 3-94b of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2022*):

719 (a) [Except as provided in subsection (c) of this section, the] <u>The</u>
720 Secretary of the State may appoint as a notary public any qualified
721 person who submits an application in accordance with [this section]
722 <u>section 21 of this act.</u>

- (b) In order to qualify for appointment as a notary public, a person appointed or reappointed on or after October 1, 2022, shall:
- 725 [(1) Be eighteen years of age or older at the time of application;
- 726 (2) (A) Be a resident of the state of Connecticut at the time of 727 application and appointment, or (B) have one's principal place of 728 business in the state at the time of application and appointment;
- 729 (3) Pass a written examination approved or administered by the 730 Secretary;]
- 731 (1) Successfully complete a course of study in accordance with section 732 22 of this act and any applicable regulations adopted by the Secretary of 733 the State in accordance with the provisions of chapter 54; and
  - [(4)] (2) Submit an application, on a form prescribed and provided by the Secretary. [, which the applicant shall complete in the applicant's handwriting without misstatement or omission of fact.] The application shall be accompanied by (A) a nonrefundable application fee, [of one hundred twenty dollars,] and (B) [the recommendation] a certificate of reference, on a form prescribed by the Secretary, of an individual who has personally known the applicant for at least one year and is not legally related to the applicant. The Secretary may require that such application be submitted online and that the applicant provide identifying information, including, but not limited to, a Social Security number or other unique personal identifier. The Secretary shall adopt regulations in accordance with the provisions of chapter 54 to prescribe the amount of the nonrefundable application fee. Until the Secretary adopts regulations prescribing the nonrefundable application fee under this section, such fee shall be one hundred twenty dollars.

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- 749 [(c) The Secretary may deny an application based on:
- 750 (1) The applicant's conviction of a felony or a crime involving 751 dishonesty or moral turpitude;
- 752 (2) Revocation, suspension or restriction of a notary public 753 appointment or professional license issued to the applicant by this state 754 or any other state; or
- 755 (3) The applicant's official misconduct, whether or not any 756 disciplinary action has resulted.]
- [(d)] (c) Upon approval of an application for appointment as a notary public, the Secretary shall cause a certificate of appointment [bearing a facsimile of the Secretary's signature and countersigned by the Secretary's executive assistant or an employee designated by the Secretary] in a format prescribed by the Secretary to be issued to such
- 762 appointee by electronic means.
- [(e) A notary public may obtain a replacement certificate of appointment by filing a written request with the Secretary, accompanied by a nonrefundable fee of five dollars.]
- (d) Any notary public appointed under this section prior to October

  1, 2022, may continue to exercise the functions of a notary public until
  the end of the term to which such notary public was appointed and shall
  not be required to comply with the course requirements of section 22, or
  any other requirements for appointment, renewal, or reinstatement
  under section 21 of this act until such notary public applies for renewal
  of such notary public's term of appointment or reinstatement.
- Sec. 32. Section 3-94c of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2022*):
- [(a)] A person appointed as a notary public by the Secretary of the State may exercise the functions of the office of notary public at any place within the state beginning on the date of such person's appointment and ending five years later on the last day of the month of

appointment, unless (1) such appointment as a notary is suspended or terminated by the Secretary before the end of such term, (2) the notary resigns such appointment, or (3) the notary ceases to either be a resident of the state or have one's principal place of business in the state.

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- [(b) The Secretary may, pursuant to regulations adopted in accordance with the provisions of chapter 54, extend or reduce, by not more than one year, the term of any person serving as a notary public on October 1, 1990, who seeks reappointment after such date, in order for the new term for each such notary to begin on the effective date of the notary's reappointment.
- (c) Within thirty days after receiving a certificate of appointment from the Secretary, a notary public shall record, with the town clerk of the municipality in the state in which the notary resides, or, if the notary is not a resident of the state, with the town clerk of the municipality in the state in which the notary's principal place of business is located, such certificate and such notary's oath of office taken and subscribed to by the notary before some proper authority. Any notary public who is a resident of the state and whose principal place of business is in a municipality within the state other than the municipality in which the notary resides, may also record the notary's certificate of appointment and oath of office with the town clerk of such other municipality. Town clerks or assistant town clerks may certify to the authority and official acts of any notary public whose certificate of appointment and oath of office have been recorded in the books in their charge. The failure of a notary public to so record such certificate of appointment and oath of office shall not invalidate any notarial act performed by the notary after the date of such person's appointment as a notary public.]
- Sec. 33. Section 3-94d of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2022*):
- 808 <u>(a)</u> A notary public may apply for [reappointment on a form] <u>a</u>
  809 <u>renewal of such notary public's term of appointment in a format</u>
  810 prescribed and provided by the Secretary, accompanied by a

nonrefundable application fee. [of sixty dollars, and shall otherwise comply with all requirements for being appointed and serving as a notary public. Not later than ninety days before the expiration of the term of a notary public, the Secretary shall send the notary a notice of the expiration and a reappointment application form.] The Secretary shall adopt regulations in accordance with the provisions of chapter 54 prescribing the amount of the nonrefundable application fee for renewals. Until the Secretary prescribes a nonrefundable application fee by regulation, such fee shall be sixty dollars. The Secretary shall notify a notary public of the expiration of such notary public's term of appointment not less than ninety days before the expiration of such notary public's term of appointment by electronic means or by other appropriate method if electronic communication is not feasible. Failure of the Secretary to provide such notification shall not invalidate the expiration of a notary public's term of appointment.

- (b) The Secretary may provide a grace period for renewal of a notary public's term of appointment of not more than ninety days after the expiration of such notary public's term of appointment. A notary public whose term of appointment has expired for more than ninety days shall be deemed to have lapsed and may apply for reinstatement through a method prescribed by the Secretary. The procedures applicable for a reinstatement shall be consistent with the procedures described in section 3-94b, as amended by this act.
- (c) The Secretary may condition the renewal or reinstatement of a notary public's term of appointment on such notary public's successful completion of a course of study, as described in section 22 of this act.
- (d) Each application for appointment as a notary public and for renewal or reinstatement of a notary public's term of appointment shall be made under penalty of false statement and contain an affirmation by the applicant that, if appointed, he or she will support the Constitution of the United States and the Constitution of the state of Connecticut and fairly discharge, according to law, the duties of the office of notary public to the best of his or her abilities.

Sec. 34. Section 3-95 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2022*):

- The fee for any act performed by a notary public in accordance with the provisions of the general statutes shall not exceed five dollars, or any rate prescribed by the Secretary, plus an additional [thirty-five cents] rate for each mile of travel, as prescribed by the Secretary and not exceeding the standard mileage rate for businesses as determined by the Internal Revenue Service.
- Sec. 35. Section 1-31a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2022*):
- An acknowledgment of any instrument pertaining to real property located in this state or a power of attorney may be made outside the state before an attorney admitted to the bar in this state. The provisions of this section shall not apply to any document executed on behalf of a remotely located individual, as defined in section 15 of this act, in the course of a real estate closing, as defined in section 51-88a.
- Sec. 36. Section 1-37 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2022*):

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- Notwithstanding any provision in this chapter, the acknowledgment of any instrument without this state in compliance with the manner and form prescribed by the laws of the place of its execution, if in a state, a territory or insular possession of the United States, or in the District of Columbia, verified by the official seal of the officer before whom it is acknowledged, and authenticated in the manner provided by subsection (2) of section 1-36, shall have the same effect as an acknowledgment in the manner and form prescribed by the laws of this state for instruments executed within the state. The provisions of this section shall not apply to any document executed on behalf of a remotely located individual, as defined in section 15 of this act, in the course of a real estate closing.
- Sec. 37. Section 1-1e of the general statutes is repealed and the

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following is substituted in lieu thereof (*Effective October 1, 2022*):

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Nothing in sections 1-1d, 3-94b to [3-94e] 3-94d, inclusive, as amended by this act, 7-6, 7-51, 7-53, 7-54, 7-172, 9-12, 10a-207, 14-14, 14-36, 14-40a, 14-41, 14-44, 14-61, 14-73, 14-214, 14-276, 17a-1, 17a-152, 17b-75, 17b-81, 17b-223, 17b-745, 18-73, 18-87, 19a-512, 20-10, 20-130, 20-146, 20-188, 20-213, 20-217, 20-236, 20-250, 20-252, 20-270, 20-291, 20-316, 20-361, 20-590, 20-592, 26-38, 29-156a, 30-1, 30-45, 30-86a, 31-222, 38a-482, 38a-609, 38a-633, 38a-786, 45a-263, 45a-502, 45a-504, 45a-606, 45a-754, 46b-129, 46b-215, 52-572, 53-304, 53-330, 53a-70 or 53a-87 shall impair or affect any act done, offense committed or right accruing, accrued or acquired, or an obligation, liability, penalty, forfeiture or punishment incurred prior to October 1, 1972, and the same may be enjoyed, asserted and enforced, as fully and to the same extent and in the same manner as they might under the laws existing prior to said date, and all matters civil or criminal pending on said date or instituted thereafter for any act done, offense committed, right accruing, accrued or acquired, or obligation, liability, penalty, forfeiture or punishment incurred prior to said date may be continued or instituted under and in accordance with the provisions of the law in force at the time of the commission of such act done, offense committed, right accruing, accrued or acquired, or obligation, liability, penalty, forfeiture or punishment incurred.

Sec. 38. Sections 3-94a, 3-94f to 3-94k, inclusive, 3-94q and 3-95a of the general statutes are repealed. (*Effective October 1, 2022*)"

This act shall take effect as follows and shall amend the following sections:						
Section 1	October 1, 2022	New section				
Sec. 2	October 1, 2022	New section				
Sec. 3	October 1, 2022	New section				
Sec. 4	October 1, 2022	New section				
Sec. 5	October 1, 2022	New section				
Sec. 6	October 1, 2022	New section				
Sec. 7	October 1, 2022	New section				
Sec. 8	October 1, 2022	New section				

Sec. 9	October 1, 2022	New section
Sec. 10	October 1, 2022	New section
Sec. 11	October 1, 2022	New section
Sec. 12	October 1, 2022	New section
Sec. 13	October 1, 2022	New section
Sec. 14	October 1, 2022	New section
Sec. 15	October 1, 2022	New section
Sec. 16	October 1, 2022	New section
Sec. 17	October 1, 2022	New section
Sec. 18	October 1, 2022	New section
Sec. 19	October 1, 2022	New section
Sec. 20	October 1, 2022	New section
Sec. 21	October 1, 2022	New section
Sec. 22	October 1, 2022	New section
Sec. 23	<i>October 1, 2022</i>	New section
Sec. 24	<i>October 1, 2022</i>	New section
Sec. 25	<i>October 1, 2022</i>	New section
Sec. 26	<i>October 1, 2022</i>	New section
Sec. 27	<i>October 1, 2022</i>	New section
Sec. 28	<i>October 1, 2022</i>	New section
Sec. 29	October 1, 2022	New section
Sec. 30	<i>October 1, 2022</i>	New section
Sec. 31	<i>October 1, 2022</i>	3-94b
Sec. 32	<i>October 1, 2022</i>	3-94c
Sec. 33	October 1, 2022	3-94d
Sec. 34	October 1, 2022	3-95
Sec. 35	October 1, 2022	1-31a
Sec. 36	October 1, 2022	1-37
Sec. 37	October 1, 2022	1-1e
Sec. 38	October 1, 2022	Repealer section